

# ROC Meeting Notes

Wednesday, October 2, 2019  
9:00 ±10:00AM  
Multi -Purpose Room, Faculty Club

Angela Jordan, Research Communications, Development and Learning  
Faculty Development Council Grant ±program changes

- x Any faculty who is interested in applying the Faculty Development Council programs which consist of the traditional project based grant and the other is the new Fellowes program. The submission deadline date has been moved from the end of January to November. Please share this with any faculty you may be interested in applying.
- x The Fall Research forums which are where past awardees present on the work that they have done. The next forum will be coming up at the end of October. You can RSVP at the link provided on the RCDL web page if you would like to RSVP for the next forum, please click on the link:  
<http://bit.ly/fallforumrsvp>

Angela Williams, Research Compliance and Assurance  
Responsible Conduct of Research Workshops

- x There have been updates to the RCR training policy that requires faculty engaged in research and other sponsored and unsponsored projects that receive RCR instruction. Specifically, the training plan includes both online and face-to-face RCR instruction. Details outlined in the policy found on the RCR Policy web page. The 2019-20 RCR Workshop Series Agenda can be found at the following link:  
<https://www.sou>

# **ROC Meeting Notes**

**Wednesday, December 4, 2019**

**9:00 10:00 AM**

**Multi-Purpose Room, Faculty Club**

## **Angela Jordan, Research Communications, Development and Learning**

### **Research and Scholarly Development Grant New Requirement**

For those of you who have faculty that apply for the Research and Scholarly Development Grant, this year, we are going to require a Letter of Intent (LOI) to be submitted one month in advance. With a March 1 deadline, that would mean the LOI will need to be submitted to the RCDL office no later than February 1.

at greater risk of not being accepted in the competition without it.

## **Dusty Layton, Research Compliance and Assurance**

### **Announcements**

Danielle Miller will be taking over the IACUC/IBC approvals in the Compliance office, and will be the person to contact for any IACUC-related questions/issues.

We will be going into a more in depth discussion concerning very specific issues regarding foreign influence and security concerns that will impact research administrators that we should be aware of that do impact the grants admissions and proposal that are related to disclosures and resources that involve foreign entities, government or organizations.

New policies and procedures have been developed that impact via grants administrators of foreign gifts that are received on campus. We are also in the process of updating our Conflict of Interest and Conflict of Commitment policies and procedures that will be integrated into our policy and the COI forms.

The Foreign Influence Committee

# ROC Meeting Notes

Wednesday, January 29, 2020  
9:00 10:00 AM  
Multi-Purpose Room, Faculty Club

## Bubba Sheffield, Grants and Contracts Accounting Updates

If the salary cap goes up again this year, you will be able to date your PA the date of notification. If it goes down, we will have to go back to the effective date.

PAR forms for the Fall will be coming out over the next couple of weeks. Jonathan Bowden will now oversee all PAR forms that come through our office. It is not the easiest job so, if you could help him out by getting the PAR forms to him sooner rather than later.

Every year, UG comes out with proposed changes that you can review online and comment on/make suggestions through March 20<sup>th</sup>. Mostly terminology changes that should not affect us too much.

## Dusty Layton, Research Compliance and Assurance, David Furman, Information Technology and Risk Compliance

### Research Compliance Updates

The revised Conflict of Interest of Commitment policies have been reviewed by the Dean's Council and seeking review by the Faculty Senate. After any concerns are addressed, we will be able to develop new disclosure forms. Also, the Office of Compliance is evaluating an electronic COI system for submission, review, approval and tracking of all COI disclosure forms. **Example:** The chair of the department of chemistry at Harvard University was indicted and arrested because of the lack of disclosure on some grant applications and receipt of foreign support. He had received over \$15 million dollars in grant money from DOD and NIH since 2008, he also became a participant in the Talents Plan where, as part of the contract, he received about \$50,000 a month, plus living expenses and setting up research facilities. It is not illegal, but a significant COI issue. This example provides the importance of ensuring all financial conflict of interest are disclosed to the University, as well as foreign support in grant applications.

A draft policy on foreign influence and responsibilities regarding reporting and disclosure was provided. We are sharing this draft policy because of its importance in helping communicate to the research community reporting requirements to ensure compliance with mandatory reporting for all types of research and research related projects that deal with support or any type of financial interest or relevant affiliations with foreign engagements or activities.

Additionally, the most recent Research Compliance Newsletter contained information researching guidance involving international shipping and export controls. Specifically, we are concerned with

**Gina Hedberg, Deborah Musgrove, Sponsored Projects Administration**  
**NSF PAPPG, SciENcv and ORCID**

NSF just released the new PAPPG, effective June 1, 2020. Just released, it is not in PDF form yet. We will post it on the ROC web page when it does. Two of the significant changes are current and pending support and biosketches for proposals submitted June 1 and beyond. An NSF-ap lt6M-6 q2 Tf4f34.9 666.22 S